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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/819,772	03/28/2001	Michael Petrov	02509/90	2624
26646 7590 04/03/2007 KENYON & KENYON LLP ONE BROADWAY			EXAMINER	
			CUNNINGHAM, GREGORY F	
NEW YORK, NY 10004			ART UNIT	PAPER NUMBER
·			2624	- <del></del>
SHORTENED STATUTORY PE	RIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
3 MONTH	IS	. 04/03/2007	PAPER	

# Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)				
	09/819,772	PETROV ET AL.				
Office Action Summary	Examiner	Art Unit				
	Greg F. Cunningham	2624				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period was a failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION  B6(a). In no event, however, may a reply be time  rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 19 De	ecember 2006.					
,						
·=	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4)⊠ Claim(s) <u>2-10,55-63 and 114-117</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>2-10,55-63 and 114-117</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or	r election requirement.					
Application Papers	·					
9) The specification is objected to by the Examiner.  10) The drawing(s) filed on <u>28 March 2001</u> is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
·	priority under 35 II S C & 110/a	\.(d) or (f)				
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:						
1.☐ Certified copies of the priority documents have been received.						
Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in Application No.						
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2						
Attachment(s)						
1) Notice of References Cited (PTO-892)	4) Interview Summary	(PTO-413)				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	ate					
3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  5) Notice of Informal Patent Application  6) Other:						
- apor 110(3)/11/aii Date	<u> </u>					

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#### DETAILED ACTION

1. This action is responsive to RCE filed 12/19/2006.

2. The disposition of the claims is as follows: claims 2-10, 55-63 and 114-117 were previously allowed. Claims 5, 58 and 115-117 are independent claims. Claims 1, 11-54 and 64-113 were cancelled.

3. When making claim amendments, the applicant is encouraged to consider the references in their entireties, including those portions that have not been cited by the examiner and their equivalents as they may most broadly and appropriately apply to any particular anticipated claim amendments.

## Claim Rejections - 35 USC § 112

- 4. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 5. Claim 4 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 6. Claim 4 recites the limitation "reconstructing the three dimensional model" in lines 1 and
- 2. There is insufficient antecedent basis for this limitation in the claim.

## Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

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A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 8. Claims 2-10, 55-63 and 114-117 are rejected under 35 U.S.C. 102(b) as being anticipated by Matlab Primer, hereinafter Matlab.
- A. Matlab anticipates claim 5, "A method for restoring a previous version of a three dimensional mesh model on a computer system comprising:

retrieving a stored copy of an earlier state [page 3 at 'Saving a session.

When one logs out or exits MATLAB all variables are lost. However, invoking the command save before exiting causes all variables to be written to a non-human-readable diskfile named matlab.mat. When one later reenters MATLAB, the command load will restore the workspace to its former state.'] of the three dimensional mesh model [page 15 at '18. Graphics.

MATLAB can produce planar plots of curves, 3-D plots of curves, 3-D mesh surface plots, and 3-D faceted surface plots. The primary commands for these facilities are plot, plot3, mesh, and surf, respectively. An introduction to each of these is given below. To preview some of these capabilities, enter the command demo and select some of the graphics options.']; and [page 18 at '3-D mesh and surface plots.

Three dimensional wire mesh surface plots are drawn with the command mesh. The command mesh(z) creates a three-dimensional perspective plot of the elements of the matrix z. The mesh surface is defined by the z-coordinates of points above a rectangular grid in the x-y plane. Try mesh(eye(10)).

Similarly, three dimensional faceted surface plots are drawn with the command surf. Try surf(eye(10)).

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To draw the graph of a function z = f(x; y) over a rectangle, one first defines vectors xx and yy which give partitions of the sides of the rectangle. With the function meshgrid one then creates a matrix x, each row of which equals xx and whose column length is the length of yy, and similarly a matrix y, each column of which equals yy, as follows:

$$[x,y] = meshgrid(xx,yy);$$

One then computes a matrix z, obtained by evaluating f entrywise over the matrices x and y, to which mesh or surf can be applied. You can, for example, draw the graph of

$$z = e^{-x^2-y^2}$$
 over the square [-2; 2] x [-2; 2] as follows (try it):  
  $xx = -2:.2:2;$ 

yy = xx;[x,y] = meshgrid(xx,yy);

 $z = \exp(-x.^2 - y.^2);$ 

mesh(z)

One could, of course, replace the first three lines of the preceding with

[x,y] = meshgrid(-2:.2:2, -2:.2:2); on the computer system [page ii, second para. at 'computer'];

retrieving an ordered list of operations on the computer system [page 9, at '12. M-files.

MATLAB can execute a sequence of statements stored in diskfiles. Such files are called \M-files" because they must have the file type of \.m" as the last part of their filename. Much of your work with MATLAB will be in creating and refining M-files. M-files are usually created using your local editor.

There are two types of M-files: script files and function files. Script files.

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A script file consists of a sequence of normal MATLAB statements. If the file has the

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filename, say, rotate.m, then the MATLAB command rotate will cause the statements in the file

to be executed. Variables in a script file are global and will change the value of variables of the

same name in the environment of the current MATLAB session.

Script files may be used to enter data into a large matrix; in such a file, entry errors can

be easily corrected. If, for example, one enters in a diskfile data.m

A = [

1234

5678

];

then the MATLAB statement data will cause the assignment given in data.m to be carried

out. However, it is usually easier to use the MATLAB function load (see section 2).

An M-file can reference other M-files, including referencing itself recursively.']; and

performing at least some of the operations in the ordered list of operations on the

retrieved copy of the three dimensional mesh model [see page 18, supra,

'Three dimensional wire mesh surface plots are drawn with the command mesh. The

command mesh(z) creates a three-dimensional perspective plot of the elements of the matrix z.

The mesh surface is defined by the z-coordinates of points above a rectangular grid in the x-y

plane. Try mesh(eye(10)).

Similarly, three dimensional faceted surface plots are drawn with the command surf. Try

surf(eye(10)).

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To draw the graph of a function z = f(x; y) over a rectangle, one first defines vectors xx and yy which give partitions of the sides of the rectangle. With the function meshgrid one then creates a matrix x, each row of which equals xx and whose column length is the length of yy, and similarly a matrix y, each column of which equals yy, as follows:

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```
[x,y] = meshgrid(xx,yy);
```

One then computes a matrix z, obtained by evaluating f entrywise over the matrices x and y, to which mesh or surf can be applied. You can, for example, draw the graph of

```
z = e^{-x^2-y^2} over the square [-2; 2] x [-2; 2] as follows (try it):

xx = -2:.2:2;

yy = xx;[x,y] = meshgrid(xx,yy);

z = exp(-x.^2 - y.^2);

mesh(z);
```

wherein the ordered list of operations contains the operations [M-file] which if performed in order [executed M-file] on the earlier state of the three dimensional mesh model [page 18, 3D mesh] would result in a current state of the three dimensional mesh model [see page 9, M-files and page 18, 3D mesh as given supra, whereby]" [as detailed].

- B. Matlab anticipates claim 6, "The method of claim 5 wherein each operation is performed in the same order in which it was originally placed in the ordered list [ordered list of M-file and given 3D mesh example, see pages 9 and 18, supra for claim 5]" supra for claim 5 and [as detailed].
- C. Matlab anticipates claim 7, "The method of claim 6 further comprising the step of."

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rendering the retrieved copy of the three dimensional mesh model to a display device after each operation is performed [page 3 at '4. Statements, expressions, and variables; saving a session.

MATLAB is an expression language; the expressions you type are interpreted and evaluated.

MATLAB statements are usually of the form

variable = expression, or simply

expression

Expressions are usually composed from operators, functions, and variable names. Evaluation of the expression produces a matrix, which is then displayed on the screen and assigned to the variable for future use. If the variable name and = sign are omitted, a variable ans (for answer) is automatically created to which the result is assigned.

A statement is normally terminated with the carriage return. However, a statement can be continued to the next line with three or more periods followed by a carriage return. On the other hand, several statements can be placed on a single line if separated by commas or semicolons.

If the last character of a statement is a semicolon, the printing is suppressed, but the assignment is carried out. This is essential in suppressing unwanted printing of intermediate results.

MATLAB is case-sensitive in the names of commands, functions, and variables. For example, solveUT is not the same as solveut.

The command who (or whos) will list the variables currently in the workspace. A variable can be cleared from the workspace with the command clear variablename. The command clear alone will clear all nonpermanent variables.

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The permanent variable eps (epsilon) gives the machine unit roundo\_labout 10 \subseteq 16 on most machines. It is useful in specifying tolerences for convergence of iterative processes.

A runaway display or computation can be stopped on most machines without leaving MATLAB with CTRL-C (CTRL-BREAK on a PC). Saving a session.

When one logs out or exits MATLAB all variables are lost. However, invoking the command save before exiting causes all variables to be written to a non-human-readable diskfile named matlab.mat. When one later reenters MATLAB, the command load will restore the workspace to its former state.'] and [page 15 at '18. Graphics.

MATLAB can produce planar plots of curves, 3-D plots of curves, 3-D mesh surface plots, and 3-D faceted surface plots. The primary commands for these facilities are plot, plot3, mesh, and surf, respectively. An introduction to each of these is given below. To preview some of these capabilities, enter the command demo and select some of the graphics options.' Wherein leaving the semicolon off renders each to the printer.] and [page 18 at 3-D mesh and surface plots, given supra for claim 5, wherein the plot command renders to a display device]" supra for claim 6 and [as detailed].

D. Matlab anticipates claim 8, "The method of claim 6 wherein the ordered list of operations is filtered to exclude at least one record [page 18 at 'Completely analogous to plot in two dimensions, the command plot3 produces curves in three dimensional space. If x, y, and z are three vectors of the same size, then the command plot3(x,y,z) will produce a perspective plot of the piecewise linear curve in 3-space passing through the points whose coordinates are the respective elements of x, y, and z. These vectors are usually defined parametrically. For example, t=.01:.01:20\*pi; x=cos(t); y=sin(t);  $z=t.^3$ ; plot3(x,y,z)

will produce a helix which is compressed near the x-y plane (a \slinky"). Try it.

Just as for planar plots, a title and axis labels (including zlabel) can be added. The features of axis command described there also hold for 3-D plots; setting the axis scaling to prescribed limits will, of course, now require a 6-vector.' Wherein limiting the axis scale will limit (filter) the plotted values for (at least one record) if not more depending on the set limit values]" supra for claim 6 and [as detailed].

E. Matlab anticipates claim 9, "The method of claim 8 wherein the at least one excluded record is at an end of the list [Just as for planar plots, a title and axis labels (including zlabel) can be added. The features of axis command described there also hold for 3-D plots; setting the axis scaling to prescribed limits will, of course, now require a 6-vector.' Wherein limiting the axis scale will limit (filter) the plotted values for (at least one record at the end of a list) if not more depending on the set limit values]" supra for claim 8 and [as detailed].

(Examiner's note: Beyond this Matlab Primer, Matlab also has many toolboxes with filtering functions, and commands also to set floor, ceiling, domain and range parameters.)

Matlab anticipates claim 10, "The method of claim 8 wherein the at least one excluded F. record is at least one record removed from an end of the list [Just as for planar plots, a title and axis labels (including zlabel) can be added. The features of axis command described there also hold for 3-D plots; setting the axis scaling to prescribed limits will, of course, now require a 6vector.' Wherein limiting the axis scale will limit (filter) the plotted values for (at least one record removed from end of list) if not more depending on the set limit values]" supra for claim 8 and [as detailed].

(Examiner's note: Beyond this Matlab Primer, Matlab also has many toolboxes with filtering functions, and commands also to set floor, ceiling, domain and range parameters.)

- G. Per independent claim 58, this is directed to an article of manufacture for performing the method of independent claim 5, and therefore is rejected to independent claim 5.
- H. Per dependent claims 59-63, these are directed to an article of manufacture for performing the method of dependent claims 6-10, and therefore are rejected to dependent claims 6-10.
- J. Matlab anticipates claim 115, "A method for managing a three dimensional mesh model on a computer system, comprising:

storing a copy of a first state of the three dimensional mesh model on the computer system [page 3 at 'Saving a session.

When one logs out or exits MATLAB all variables are lost. However, invoking the command save before exiting causes all variables to be written to a non-human-readable diskfile named matlab.mat. When one later reenters MATLAB, the command load will restore the workspace to its former state.'] of the three dimensional mesh model [page 15 at '18. Graphics.

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Three dimensional wire mesh surface plots are drawn with the command mesh. The command mesh(z) creates a three-dimensional perspective plot of the elements of the matrix z.

The mesh surface is defined by the z-coordinates of points above a rectangular grid in the x-y plane. Try mesh(eye(10)).

Similarly, three dimensional faceted surface plots are drawn with the command surf. Try surf(eye(10)).

To draw the graph of a function z = f(x; y) over a rectangle, one first defines vectors xx and yy which give partitions of the sides of the rectangle. With the function meshgrid one then creates a matrix x, each row of which equals xx and whose column length is the length of yy, and similarly a matrix y, each column of which equals yy, as follows:

```
[x,y] = meshgrid(xx,yy);
```

mesh(z)

One then computes a matrix z, obtained by evaluating f entrywise over the matrices x and y, to which mesh or surf can be applied. You can, for example, draw the graph of

```
z = e^{-x^2-y^2} over the square [-2; 2] x [-2; 2] as follows (try it):

xx = -2:.2:2;

yy = xx;[x,y] = meshgrid(xx,yy);

z = exp(-x.^2 - y.^2);
```

One could, of course, replace the \_rst three lines of the preceding with [x,y] = meshgrid(-2:.2:2, -2:.2:2);'];

performing operations on the three dimensional mesh model, wherein the three dimensional mesh model is in a second state after performing the operations [Matlab's M-file and 3-D mesh, see pages 9 and 18];

storing a record of each of the operations in an ordered list on the computer system [M-file, page 9]; and

reapplying at least some of the operations stored in the ordered list to the stored first state of the three dimensional mesh model [The editing of M-files to modify the ordered list of the M-file, see page 13 at 'Managing M-files'], wherein the three dimensional mesh model is in a third state after reapplying the at least some of the operations [Editing M-files via page 13 'Managing M-files' and exemplified on page 18 at 'One could, of course, replace the first three lines of the preceding with [x,y] = meshgrid(-2:.2:2, -2:.2:2); Try this plot with surf instead of mesh', whereby "a third state" is anticipated by editing the parameter values and/or functions of the M-file]" [as detailed].

K. Matlab anticipates claim 2, "The method of claim 115 wherein the step of storing a record of each of the operations includes:

storing all of the parameters necessary to repeat the operations [corresponds to Matlab's save command: 'invoking the command save before exiting causes all variables to be written to a non-human-readable diskfile named matlab.mat' – page 3, and/or M-files, see page 9 given supra]" supra for claim 115 and [as detailed].

- L. Matlab anticipates claim 3, "The method of claim 2 wherein the ordered list contains a record for each operation that has been previously performed on the three dimensional mesh model in the order in which it was performed [M-files and 3-D mesh, see pages 9 and 18, given supra]" supra for claim 2 and [as detailed].
- M. Matlab anticipates claim 4, "The method of claim 115 wherein the step of reconstructing the three dimensional model includes:

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retrieving the stored copy of the first state of the three dimensional mesh model [Matlab's load command, see 'the MATLAB command load.ext will read this file to the variable data in your MATLAB workspace. This may also be done with a script file (see section 12)' - pages 2, 'the command load will restore the workspace to its former state' – page 3, and M-files – page 9];

retrieving the ordered list of operations [M-file – page 9, given supra]; and performing at least one operation in the ordered list of operations on the retrieved copy of the first state of the three dimensional mesh model [M-file and 3-D mesh – pages 9 and 18, given supra]" supra for claim 115 and [as detailed].

- N. Per independent claims 116 and 117, these are directed to an article of manufacture and a system, respectively, for performing the method of independent claim 115, and therefore are rejected to independent claim 115.
- P. Per dependent claims 55-57 and 114, these are directed to an article of manufacture ans a system, respectively, for performing the method of dependent claims 2-4, and therefore are rejected to dependent claims 2-4.

### Responses

Responses to this action should be mailed to: Commissioner of Patents and Trademarks,
 Washington, D.C. 20231.

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### Inquiries

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gregory F. Cunningham whose telephone number is (571) 272-7784.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matt Bella can be reached on (571) 272-7778. The Central FAX Number for the organization where this application or proceeding is assigned is **571-273-8300**.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JF. Curring from Gregory F. Cunningham

Examiner, Art Unit 2624

gfc

3/28/2007

MATTHEW C. BELLA SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 2600

Marker C. Bella